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(Modified) PTO/SB/21 (6-98)  
Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/029,137

Filing Date

December 19, 2001

First Named Inventor

Mai H. Nguyen

Group Art Unit

1653

Examiner Name

Unassigned

Attorney Docket Number

407T-301400US

## ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form  
☐ Fee Attached  
☐ Amendment / Response  
☐ After Final  
☐ Affidavits/declaration(s)  
☒ Extension of Time Request  
☐ Express Abandonment Request  
☐ Information Disclosure Statement  
☐ Certified Copy of Priority Document(s)  
☒ Copy of Notice to File Missing Parts  
☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☒ Copy of Executed Assignment  
☒ Executed Declaration  
☐ Licensing-related Papers  
☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition  
☐ Petition to Convert to a Provisional Application  
☒ Executed Power of Attorney and 3.73b Certificate  
☐ Terminal Disclaimer  
☐ Small Entity Statement  
☐ Request for Refund

- ☐ After Allowance Communication to Group  
☐ Appeal Communication to Board of Appeals and Interferences  
☐ Appeal Communication to Group (Appeal Notice Brief Reply Brief)  
☐ Proprietary Information  
☐ Status Letter  
☒ Additional Enclosure(s) (please identify below)  
receipt acknowledgment postcard

### Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application including any extensions of time for consideration of the documents enclosed

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Tom Hunter, Reg. No. 38,498,

Quine Intellectual Property Law Group P.C.

Signature

Date

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents Washington, D.C. 20231 on this date. June 7, 2002

Typed or printed name

Chianti Appling

Signature

Date

6/7/02



I hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
first class mail in an envelope addressed to:

Assistant Commissioner for Patents,  
Attn: Box Missing Parts,  
Washington, D.C. 20231

June 7, 2002

QUINE INTELLECTUAL PROPERTY LAW GROUP P.C.

By

Chianti Appleby

Attorney Docket No. 407T-301400US  
Client Ref. 2002-186-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Mai H. Nguyen et al.

Application No.: 10/029,137

Filed: December 19, 2001

For: **IDENTIFICATION OF A NOVEL  
ENDOTHELIAL-DERIVED GENE EG-1**

Examiner: Unassigned

Art Unit: 1653

TRANSMITTAL LETTER - RESPONSE  
TO NOTICE OF MISSING PARTS

Attn: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to the "Notice to File Missing Parts of Application - Filing Date  
Granted" dated March 15, 2002, enclosed are the following to be made of record in the above-  
identified application:

- 1) Executed Declaration
- 2) Power of Attorney and Certificate of Assignee Under 37 C.F.R. § 3.73(b)  
(+ copy of Assignment - not for recordation-note: The Assignment for  
recordation is being sent concurrently under separate cover to Box  
Assignment, pursuant to the MPEP @ Page 300-M and Form PTO-  
1619A)
- 3) Copy of Notice of Missing Parts
- 4) Petition for Extension of Time for 1 month Under 37 CFR 1.136(a)
- 5) Fee transmittal sheet
- 6) Transmittal Sheet

7) Receipt indication postcard

Please charge Deposit Account No. 50-0893 for the following fees:

Large entity: (a)	Filing Fee (§ 1.16(a)) (Large Entity)	\$ 370.00
(b)	Excess Claims Fees (§ 1.16(b), (c)):	
	$54 - 20 = 34 \times 9 =$	\$ 306.00
	$8 - 3 = 5 \times 42 =$	\$ 210.00
(c)	Missing Parts Surcharge	\$ 65.00
<b>TOTAL FEES TO BE CHARGED</b>		<b><u>\$ 951.00</u></b>

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 50-0893.

Respectfully submitted,



Tom Hunter, J.D., Ph.D.  
Reg. No. 38,498

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.  
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# FEE TRANSMITTAL for FY2002

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB-09-12.

TOTAL AMOUNT OF PAYMENT (\$)

## Complete if Known

Application Number	10/029,137
Filing Date	December 19, 2001
First Named Inventor	Mai H. Nguyen
Examiner Name	Unassigned
Group / Art Unit	1653
Attorney Docket No.	407T-301400US

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 50-0893  
Deposit Account Name: Quine Intellectual Property Law Group P.C.

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	370.00
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 80	Provisional filing fee	

SUBTOTAL (1) (\$) 370.00

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
54	20** = 34	9	306
8	3** = 5	42	210

\*\*or number previously paid, if greater. For Reissues, see below

### Large Entity Small Entity

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 516.00

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	55.00
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1440	218 720	Extension for reply within fourth month	
128 1960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1280	241 640	Petition to revive - unintentional	
142 1280	242 640	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 690	246 345	Filing a submission after final rejection (37 CFR 1.129(a))	
149 690	249 345	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify):			
Other fee (specify):			
SUBTOTAL (3) (\$) 636.00			

\*Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Typed or Printed Name: Tom Hunter

Signature:

Date: 6/7/02

## Complete (if applicable)

Reg. Number: 38,498

Deposit Account User ID:

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date: June 7, 2002

Typed or Printed Name: Chanti Apping

Signature:

Date: 6/7/02